## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| 2 | 2 Serial No.<br>Filing Date<br>3 Confirmation No.                                                                                                               |                   |
|---|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
|   | Filing Date                                                                                                                                                     | 1/23/2004         |
| 3 | 3 Confirmation No.                                                                                                                                              |                   |
|   | Inventorship                                                                                                                                                    | Tie Liu           |
| 4 | 4 Applicant                                                                                                                                                     | osoft Corporation |
|   | <ul> <li>Inventorship</li> <li>Applicant</li> <li>Attorney's Docket No.</li> <li>Title: Watermarking via Quantization of Rational Statistics of Reg.</li> </ul> | MS1-1811US        |
| 5 | 5 Title: Watermarking via Quantization of Rational Statistics of Reg                                                                                            | ions              |

## **INFORMATION DISCLOSURE STATEMENT**

References -- See Attached Form PTO-1449

## REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Date: 2-25.04

Respectfully Submitted, By: Karey C. Christie Reg. No. 40559

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.R./

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|                                                                  | Application Number           |  | 10764345   |  |
|------------------------------------------------------------------|------------------------------|--|------------|--|
|                                                                  | Filing Date                  |  | 2004-01-23 |  |
| INFORMATION DISCLOSURE                                           | First Named Inventor Tie Lit |  | LIU        |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                     |  |            |  |
|                                                                  | Examiner Name                |  |            |  |
|                                                                  | Attorney Docket Number       |  | MS1-1811US |  |

| U.S.PATENTS          |   |         |                           |            |                                                    |                                                                              |  |
|----------------------|---|---------|---------------------------|------------|----------------------------------------------------|------------------------------------------------------------------------------|--|
| Examiner<br>Initial* |   |         | Kind<br>Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant<br>of cited Document | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                      | 1 | 5210820 |                           | 1993-05-11 | Kenyon                                             |                                                                              |  |
| -                    | 2 | 5899999 |                           | 1999-05-04 | De Bonet                                           |                                                                              |  |
|                      | 3 | 5915038 |                           | 1999-06-22 | Abdel-Mottaleb, et al.                             | · · ·                                                                        |  |
|                      | 4 | 5918223 |                           | 1999-06-29 | Blum, et al.                                       |                                                                              |  |
|                      | 5 | 6363381 |                           | 2002-03-26 | Lee, et al.                                        |                                                                              |  |
|                      | 6 | 6687416 |                           | 2004-02-03 | Wang                                               |                                                                              |  |
|                      | 7 | 6751343 |                           | 2004-06-15 | Ferrell, et al.                                    |                                                                              |  |
|                      | 8 | 6754675 |                           | 2004-06-22 | Abdel-Mottaleb, et al.                             |                                                                              |  |

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.R./

## INFORMATION DISCLOSURE Application Number 10764345 STATEMENT BY APPLICANT Filing Date 2004-01-23 (Not for submission under 37 CFR 1.99) Tie Liu Art Unit Examiner Name Attorney Docket Number MS1-1811US

|                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                     |                                         |                           |                     |               | ,                   |                                                    |         |                                                                                 |    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------|---------------------|---------------|---------------------|----------------------------------------------------|---------|---------------------------------------------------------------------------------|----|
|                                                                                                                                                                                                                             | 9                                                                                                                                                                                                                                                                                                   | 6990453                                 |                           | 2006-01             | -24           | Wang, et al.        |                                                    |         |                                                                                 | ·  |
| If you wis                                                                                                                                                                                                                  | h to a                                                                                                                                                                                                                                                                                              | dd additional U.S. Pater                | ı<br>nt çitatio           | n inform            | ation pl      | ease click the      | Add button.                                        |         |                                                                                 |    |
|                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                     |                                         | U.S.P                     | ATENT               | APPLK         | CATION PUBI         | LICATIONS                                          |         |                                                                                 |    |
| Examiner<br>Initial*                                                                                                                                                                                                        | Cit <del>e</del><br>No                                                                                                                                                                                                                                                                              | Publication Number                      | Kind<br>Code <sup>1</sup> |                     |               |                     |                                                    |         |                                                                                 |    |
|                                                                                                                                                                                                                             | 1                                                                                                                                                                                                                                                                                                   |                                         |                           |                     |               |                     |                                                    |         |                                                                                 |    |
| lf you wis                                                                                                                                                                                                                  | h to a                                                                                                                                                                                                                                                                                              | dd additional U.S. Publi                | shed Ap                   | plication           | citation      | n information p     | lease click the Add                                | i butto | on.                                                                             |    |
|                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                     |                                         |                           | FOREI               | GN PAT        | ENT DOCUM           | ENTS                                               | •       |                                                                                 |    |
| Examiner<br>Initial*                                                                                                                                                                                                        | Cite<br>No                                                                                                                                                                                                                                                                                          | Foreign Document<br>Number <sup>3</sup> | Country                   | / Code <sup>2</sup> | Kind<br>Code4 | Publication<br>Date | Name of Patentee<br>Applicant of cited<br>Document | e or    | Pages,Columns,Lines<br>where Relevant<br>Passages or Relevant<br>Figures Appear | Т5 |
|                                                                                                                                                                                                                             | 1                                                                                                                                                                                                                                                                                                   |                                         |                           |                     |               |                     |                                                    |         |                                                                                 |    |
| If you wisi                                                                                                                                                                                                                 | h to ac                                                                                                                                                                                                                                                                                             | d additional Foreign Pa                 | atent Do                  | cument              | citation      | information pl      | ease click the Add                                 | butto   | <u>ו</u><br>ח                                                                   |    |
|                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                     |                                         | NON                       | I-PATE              | NT LITE       | RATURE DO           | CUMENTS                                            |         |                                                                                 |    |
| Examiner<br>Initials*                                                                                                                                                                                                       | Examiner<br>Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s),<br>publisher, city and/or country where published. |                                         |                           |                     |               | Ţ5                  |                                                    |         |                                                                                 |    |
|                                                                                                                                                                                                                             | Chang et al., "A Spatial Match Representation Scheme for Indexing and Querying in Iconic Image Databases", 1997,<br>ACM, Retrieved from the Internet http://portal.acm.org/citation.cfm?<br>id=266890&coll=ACM&dl=ACM&CFID=68519948&CFTOKEN=85922645                                                |                                         |                           |                     |               |                     |                                                    |         |                                                                                 |    |
| 2 EI-Kwae et al., "Efficient Content-Based Indexing of Large Image Databases", April 2000, ACM, Retrieved from the<br>Internet: http://portal.acm.org/citation.cfm?id=348762&coll=ACM&dl=ACM&CFID=68519948&CFTOKEN=85922645 |                                                                                                                                                                                                                                                                                                     |                                         |                           |                     |               |                     |                                                    |         |                                                                                 |    |
|                                                                                                                                                                                                                             | 3 Politis et al., "An Audio Signatures Indexing Scheme for Dynamic Content Multimedia Databases", Electrotechnical Conference, 2000. IEEE, Vol. 2, May 29, 2000, pp. 725-728.                                                                                                                       |                                         |                           |                     |               |                     |                                                    |         |                                                                                 |    |
| If you wis                                                                                                                                                                                                                  | h to a                                                                                                                                                                                                                                                                                              | l<br>Id additional non-paten            | t literatu                | re docur            | nent cit      | ation informati     | on please click the                                | Add I   | outton                                                                          |    |

| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Application Number          | 10764345   |
|--------------------------------------------------------------------------------------------|-----------------------------|------------|
|                                                                                            | Filing Date                 | 2004-01-23 |
|                                                                                            | First Named Inventor Tie Li | u          |
|                                                                                            | Art Unit                    |            |
|                                                                                            | Examiner Name               |            |
|                                                                                            | Attorney Docket Number      | MS1-1811US |

| EXAMINER SIGNATURE                                                                                                                            |                                                                                                                                                                   |  |  |  |  |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|--|
| Examiner Signature /Dante Ravetti/ (06/01/2010)                                                                                               | Date Considered /Dante Ravetti/ (06/01/201                                                                                                                        |  |  |  |  |  |
| *EXAMINER: Initial if reference considered, whether or not citation i<br>citation if not in conformance and not considered. Include copy of t | is in conformance with MPEP 609. Draw line through a his form with next communication to applicant.                                                               |  |  |  |  |  |
| <sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901                                                     | .04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO reign of the Emperor must precede the serial number of the patent document. |  |  |  |  |  |

|                                                                  | Application Number           | 10764345   |  |
|------------------------------------------------------------------|------------------------------|------------|--|
|                                                                  | Filing Date                  | 2004-01-23 |  |
| INFORMATION DISCLOSURE                                           | First Named Inventor Tie Liu |            |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                     |            |  |
|                                                                  | Examiner Name                | · · · ·    |  |
|                                                                  | Attorney Docket Number       | MS1-1811US |  |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | CERTIFICATION STATEMENT                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                         |                   |         |  |  |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------|---------|--|--|--|
| Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                         |                   |         |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | That each item of information contained in the information disclosure statement was first cited in any communication<br>from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the<br>information disclosure statement.                                                                                                                                                                                                               |                                         |                   |         |  |  |  |
| OF                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | R                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                         |                   |         |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. |                                         |                   |         |  |  |  |
| X                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | See attached ce                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | rtification statement.                  |                   |         |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Fee set forth in 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 37 CFR 1.17 (p) has been submitted here | with.             |         |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | None                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                         |                   |         |  |  |  |
| SIGNATURE<br>A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the<br>form of the signature.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                         |                   |         |  |  |  |
| Sig                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | nature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | h m                                     | Date (YYYY-MM-DD) | 2-28:06 |  |  |  |
| Name/Print Kasey C. Christie Registration Number 40,559                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                         |                   |         |  |  |  |
| This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                         |                   |         |  |  |  |